

## Some evidence for structural ergative case

**Introduction.** Ergative case has largely been analyzed either as inherent, meaning it is tied to a particular thematic role (Aldridge 2004 et seq., Woolford 1997 et seq., Legate 2006 et seq.), or dependent, meaning it emerges only in the presence of a clausemate NP, serving as its ‘case competitor’ (Marantz 1991, Baker 2014 et seq.). In this talk, I use novel data from Choctaw to argue for a third analysis: *structural* ergative (Rezac et al. 2014, Deal forthcoming). I show that in Choctaw, some internal arguments are marked as ergative—a known problem for inherent ergative—and that this may happen even in the absence of a potential case competitor, making these configurations problematic for dependent ergative too. To show this, I identify some tests for unaccusativity, and show that several ergative-subject verbs nonetheless pass these tests. I propose that this data can be accounted for by positing that ergative case is assigned both to external arguments base-generated in Spec-VoiceP *and* to some internal arguments which raise to Spec-VoiceP.

**Clitics in Choctaw.** Arguments are cross-referenced on the verb by clitics which, broadly, indicate the argument’s thematic role (Munro & Gordon 1982, Davies 1986, Broadwell 1988, Tyler forthcoming). That is, they show *active* alignment. **ERG**ative clitics cross-reference agents, state-holders and other external arguments (1-3); **ABS**olutive clitics cross-reference direct objects and unaccusative subjects (4-5); **DAT**ive clitics cross-reference oblique arguments, e.g. beneficiaries (6).

- |   |   |   |
|---|---|---|
| (1) <b>ii</b> -hilh-aachih<br><b>1PL.ERG</b> -dance-FUT<br>‘We will dance.’     | (2) <b>ish</b> -kooli-tok<br><b>2SG.ERG</b> -break-PST<br>‘You broke it.’       | (3) ala-kā      ikkāna- <b>li</b> -h<br>arrive-COMP know- <b>1SG.ERG</b> -TNS<br>‘I know he arrived.’ |
| (4) <b>sa</b> -pīsa-h-ō?<br><b>1SG.ABS</b> -see-TNS-Q<br>‘Is he looking at me?’ | (5) <b>chi</b> -nokoowa-h-ō?<br><b>2SG.ABS</b> -angry-TNS-Q<br>‘Are you angry?’ | (6) <b>pī</b> -hopoon-aachih<br><b>1PL.DAT</b> -cook-FUT<br>‘He will cook for us.’                    |

Following Arregi & Nevins’s (2012) analysis of active case in Western Basque, I propose that arguments cross-referenced by ERG clitics have an ergative case feature, assigned to external arguments in Spec-VoiceP. ABS arguments are generated lower than Spec-VoiceP, so lack this feature. Note also: all overt subjects in Choctaw carry a nominative case-marker, which mostly does not interact with the clitic system.

**Three properties of unaccusative verbs.** In addition to cross-referencing their subject with ABS clitics (5), unaccusative verbs display certain other identifying properties.

Auxiliary selection. Unaccusative verbs ((7), cf. (5)) require a different class of auxiliaries to unergative verbs ((8), cf. (1)) (Broadwell 1988, 2006).

- |  |   |
|--|---|
| (7) sa-nokoowa-t <b>taha</b> -h<br>2SG.ABS-angry-PTCP <b>AUX.ABS</b> -TNS<br>‘I’ve gotten really angry.’/‘I’m really angry.’ | (8) hilha-t      ish- <b>tahli</b> -h-ō?<br>dance-PTCP 2SG.ERG- <b>AUX.ERG</b> -TNS-Q<br>‘Have you finished dancing?’ |
|--|---|

Plural allomorphy. Some intransitive verbs display plural allomorphy (9-11) (Broadwell 1993, 2006). This class only contains unaccusative verbs (cf. Harley 2014).

- |                       |  |
|-----------------------|--|
| (9) kobaafah/kobahlih | ‘to break (of {one/multiple} objects)’ |
| (10) bokaafa/bokahlih | ‘to burst (of {one/multiple} objects)’ |
| (11) āshah/māyah      | ‘to exist (of {one/multiple} objects)’ |

Compatibility with dative subjects. Dative subjects, cross-referenced by a DAT clitic on the verb, introduce affected experiencers (12), external possessors (13) and indirect causers (14).

- |   |   |   |
|---|---|---|
| (12) J.-at    ofōsik im-ittola-tok<br>J.-NOM puppy 3.DAT-fall-PT<br>‘John dropped the puppy.’ | (13) ofi am-illi-tok<br><i>pro</i> dog 1SG.DAT-die-PT<br>‘My dog died.’ | (14) M.-at    im-alla ī-masaali-tok<br>M.-NOM her-kid 3.DAT-heal-PT<br>‘Mary got her kids cured.’ |
|---|---|---|

Only unaccusative verbs may have dative subjects added—(15-17) show the same verbs as in (12-14), minus their dative subjects. We see that they all cross-reference their subject with ABS clitics.

- |   |   |   |
|---|---|---|
| (15) sa-ttola-tok<br>1SG.ABS-fall-PT<br>‘I fell.’ | (16) sa-ll-aachih<br>1SG.ABS-die-FUT<br>‘I will die.’ | (17) sa-masaal-aachih<br>1SG.ABS-heal-FUT<br>‘I will get better.’ |
|---|---|---|

In contrast, unergative verbs reject dative subjects (18-19).

- (18)\*John-at alla tiik ĩ-hilha-h (cf. (1)) (19)\*Mary-at im-alla im-ĭpa-tok  
 John-NOM kid girl 3.DAT-dance-TNS Mary-NOM her-kid 3.DAT-eat-PT  
 ('John's daughter is dancing.') ('Mary got her kids to eat.')

**Unaccusative verbs with ERG subjects.** Three classes of verb have their subject cross-referenced by an ERG clitic, but behave like unaccusative verbs according to at least some of the properties above.

*Quantifier and positional verbs.* These verbs take ERG subjects (20-21). Yet they are compatible with dative subjects (23-24) and many exhibit plural allomorphy (21-22).

- (20) ii-lawah I.PL.ERG-many-TNS 'We are many.'  
 (21) hikĭya-li-tok stand-1SG.ERG-PST 'I was standing.'  
 (22) ii-hiyohmāya-tok I.SG.ERG-stand.PL-PST 'We were standing.'  
 (23) Anaak-oosh ofi ā-lawah I.FOC-NOM dog 1SG.DAT-many-TNS 'I have a lot of dogs.'  
 (24) car ā-hikĭya-tok *pro*<sub>1sg</sub> car 1SG.DAT-stand-PST 'I had a car.'

*Motion verbs.* These verbs take ERG subjects (25-26). Yet they select absolutive-class auxiliaries (27), many exhibit plural allomorphy (28-30), and for some speakers they are compatible with dative subjects (31).

- (25) nowa-li-tok walk-1SG.ERG-PT 'I walked.'  
 (26) iya-li-tok go-1SG.ERG-PT 'I went.'  
 (27) iya-t ii-taha-h go-PTCP 1PL.ERG-AUX.ABS-TNS 'We all went.'  
 (28) iyah/ilhkoolih 'she/they go(es)'  
 (29) baliilih/yilhiipah 'she/they run(s)'  
 (30) alah/aayalah 'she/they come(s)'  
 (31) %Pam-at katos-at ĩ-baliili-h Pam-NOM cat-NOM DAT-run-TNS 'Pam's cat is running.' (Broadwell 2006:307)

*Transitive psych verbs following PCC repair.* Some psych verbs take multiple ABS/DAT arguments, but clitic co-occurrence is restricted by the Person Case Constraint (PCC), as in (32). PCC violations force a repair to take place, in which the ABS clitic is 'promoted' to ERG, as in (33) (Tyler, in press). Psych verbs with promoted ERG subjects still take absolutive-class auxiliaries (34).

- (32) pĭ-(\*chi)-nokshoopa-h I.PL.DAT-(\*2SG.ABS)-scared-TNS 'She(/\*you) is scared of us.'  
 (33) ish-pĭ-nokshoopa-h 2SG.ERG-1PL.DAT-scared-TNS 'You are scared of us.'  
 (34) ish-pĭ-nokshoopa-t {taha/\*tahli}-h 2SG.ERG-1PL.DAT-scared-PRT {AUX.ABS/\*AUX.ERG}-TNS 'You're really scared of us.'

**Summary.** Many verbs with ERG-marked subjects behave in other ways like unaccusatives:

verb type	subject = ABS	ABS aux	pl allomorphy	allows DAT subj
unaccusative	✓	✓	(✓)	✓
unergative	*	*	*	*
quantifier/positional	*	—	(✓)	✓
motion	*	✓	(✓)	%
psych	*/✓	✓	*	*

**Analysis.** There is more than one way to get an ergative case feature. External arguments, base-generated in Spec-VoiceP, receive it automatically. But in addition, arguments may raise to Spec-VoiceP from an internal argument position—complement of V, or Spec-AppIP—and receive *structural* ergative case in their derived position. (35) shows the structure of motion, quantifier and positional verbs, and (36) shows 'repaired' transitive psych verbs (from Tyler, in press).

- (35) [<sub>VoiceP</sub> DP<sub>i</sub> Voice<sup>0</sup> [<sub>VP</sub> V<sup>0</sup> DP<sub>T</sub> ] ] (36) [<sub>VoiceP</sub> DP<sub>i</sub> Voice<sup>0</sup> [<sub>AppIP</sub> DP<sub>T</sub> AppI<sup>0</sup> [<sub>VP</sub> V DP<sub>k</sub> ] ] ]

**Implications.** Configurations such as (35), in which an internal argument is marked like an external argument in the absence of a case competitor, are problematic for both dependent and inherent theories of ergative case, but predicted with a structural theory of ergative. What's more, configurations like (36), in which ergative shows up on one internal argument in the presence of a fellow internal argument, are often seized upon as evidence for dependent ergative case (Baker 2014, 2015, Baker & Bobaljik 2017, Yuan 2018). But the fact that this pattern is found in Choctaw, a language where ergative *cannot* be dependent, means that we should be wary of using the existence of this pattern as evidence for dependent ergative.